

*Image*

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
134717-1

In Re Application Of: **Jiawen Dong, et al.**

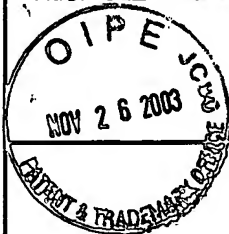
Serial No.  
10/648,540

Filing Date  
August 26, 2003

Examiner  
NYA

Group Art Unit

Title: **METHOD OF MOLDING ARTICLES**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**METHOD OF MOLDING ARTICLES**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **07-0862**  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and fee is being deposited on November 24, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Tracy A. Axial

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*Roberta L. Pelletier*  
Signature

Dated: November 24, 2003


Roberta L. Pelletier, Esq.

Registration No. 46,372

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Phone No. (860) 286-2929

CC:

 <b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	ATTY DOCKET NO. <b>134717-1</b>	SERIAL NO. <b>10/648,540</b>
	<b>Jiawen Dong, et al.</b>	
	FILING <b>August 26, 2003</b>	GROUP

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	3,383,435	5/14/68	E.P. Cizek			
	3,635,895	1/18/72	Kramer			
	4,001,184	1/4/77	Scott			
	4,217,438	8/12/80	Brunelle, et al.			
	4,373,065	2/8/83	Prest, Jr.			
	4,760,118	7/26/88	White, et al.			
	4,987,194	1/22/91	Maeda, et al.			
	4,994,217	2/19/91	Banevicius, et al.			
	5,053,288	10/1/91	Hashimoto, et al.			
	5,480,959	1/2/96	Schmidhauser			
	5,586,110	12/17/96	Nakaki			

**FOREIGN PATENT DOCUMENTS**


	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 0 225 801	08.12.86	Europe				
	EP 0 271 000	03.12.87	Europe				
	EP 0 295 891	15.06.88	Europe				
	EP 0 303 209	06.08.88	Europe				
	EP 0 642 124	06.09.94	Europe				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

	JP8055341. Publication Date. 1996-02-27. Abstract Only
	JP9035330. Publication Date. 1997-02-07. Abstract Only

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<div style="text-align: center;">  <p><b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)</p> </div>	ATTY DOCKET NO. <b>134717-1</b>	SERIAL NO. <b>10/648,540</b>
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U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	5,833,848	11/10/98	Tominari, et al.				
	6,042,754	3/28/00	Yang, et al.				
	6,109,910	8/29/00	Sekido				
	6,306,978	10/23/01	Braat, et al.				
	6,372,175	4/16/02	Inoue, et al.				
	6,407,200	6/18/02	Singh, et al.				
	6,437,084	8/20/02	Birsak, et al.				
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	2002/0094455	7/18/02	Feist, et al.				
	2002/0197438	12/26/02	Hay, et al.				
	2002/0197441	12/26/01	Hariharan, et al.				

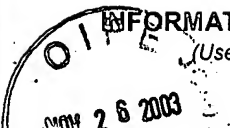
FOREIGN PATENT DOCUMENTS								
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION			
					YES	NO		
EP 0 691 361	16.06.95	Europe						
EP 0 724 259	26.01.96	Europe						
EP 0 770 637	10.10.96	Europe						
EP 1 031 972	23.02.00	Europe						
EP 1 047 055	22.04.99	Europe						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
			JP9054982. Publication Date 1997-02-25. Abstract Only
			JP2000149296. Publication Date 2000-05-30. Abstract Only

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FOREIGN PATENT DOCUMENTS								
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
						YES	NO	
	JP 63-124244 ✓	27-5-1988	Japan			✓		
	JP 63-13722 ✓	1988-1-21	Japan			✓		
	JP 63-256427 ✓	24-10-1988	Japan			✓		
	JP 63-301247 ✓	12/8/88	Japan			✓		
	JP 63-309547 ✓	16-12-1988	Japan			✓		

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			JP2001067726. Publication Date 2001-03-16. Abstract Only
			<a href="http://www.sony.net/SonyInfo/News/Press/200202/02-0219E/">http://www.sony.net/SonyInfo/News/Press/200202/02-0219E/</a>

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FOREIGN PATENT DOCUMENTS								
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							YES	NO
		JP 63-86738 ✓	4/18/88	Japan			✓	
		JP 63-91231 ✓	4/21/88	Japan			✓	
		JP 63-91232 ✓	4/21/88	Japan			✓	
		JP 64-42601 ✓	14-2-1989	Japan			✓	
		WO 98/20555	14.05.98	Japan			✓	

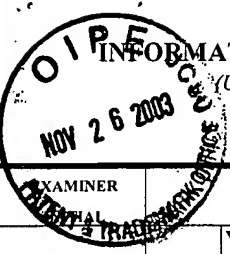
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
			<a href="http://www.cdfreaks.com/print/article/83-10">http://www.cdfreaks.com/print/article/83-10</a>
			<a href="http://www.atofina.com/groupe/gb/actucomm/print.cfm?IdComm=5052">http://www.atofina.com/groupe/gb/actucomm/print.cfm?IdComm=5052</a>

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		Applicant(s) <b>Jiawen Dong, et al.</b>			
		Filing Date <b>August 26, 2003</b>		Group Art Unit	
EXAMINER		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
		Yamamoto, et al. "0.8-Numerical-Aperture Two-Element Objective Lens for the Optical Disk" Jpn. J. Appl. Phys. Vol. 36 (1997) pp. 456-459.			
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